ESTIMATION OF SHALLOW GROUNDWATER RECHARGE USING A GIS-BASED DISTRIBUTED WATER BALANCE MODEL

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ABSTRACT: In the paper we present the results of shallow groundwater recharge estimation using the WetSpass GIS-based distributed water balance model. By taking into account WetSpass, which stands for Water an Energy Transfer between Soil, Plants and Atmosphere under quasi-Steady State, for average conditions during the period 1961–2000, we assessed the spatial conditions of the groundwater infiltration recharge process of shallow circulation systems in the Poznan Plateau area (the Great Poland Lowland in western Poland), which is classified as a region with observed water deficits. For three temporal variants, i.e. year, winter and summer half-years, we determined using the geological infiltration method by about 5–10% on average, marginally by 20%.

KEY WORDS: groundwater, recharge, distributed water balance, WetSpass model, Poznan Plateau

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Introduction

Hydrological processes occurring in the catchment are highly dynamic and their continuity or discontinuity presents a significant problem in describing and visualising the spatial and temporal relations occurring between them. Estimation of water balance components, which consists in selecting the right input data and boundary conditions, assumes the creation of a model in which there can be variation of the process, with the lowest possible number of defining variables (Soczyńska 1989, Ponce, Shetty 1995, Fiedler, Ramirez 2000, Batelaan, De Smedt 2001, Dąbrowski et al. 2011). A model with spatially distributed parameters (i.e. a raster model) provides information on the diversity of water balance components, i.e. precipitation, effective infiltration, surface runoff and evapotranspiration, whose spatial distribution is assigned to raster cells with a homogeneous structure. These function as balance cells and the significance of the impact of climatic and environmental factors is defined by the scale of a given study (Nawalan ny 1999, Blöeschl 2001, Gutry-Korycka 2001, Lin, Rathbun 2003, Sivapalan 2005, Urbaniński 2008).

The character of the so-called active area surface, which is assigned a specific hydrological potential and activity, particularly with respect to its preconditions for the process of groundwater
recharge in shallow aquifers, is of vital importance in spatial analysis of hydrological processes. This process is accompanied by high effective infiltration of precipitation, which is a fundamental component of the groundwater recharge vector. The recharge boundary of a groundwater circulation system is defined as a permeable boundary with a specified hydraulic head, with water flowing into the system under natural conditions or ones affected by its exploitation (Toth 2009). The active surface of a catchment is usually characterised by a diverse structure which, as a result of the activity of a set of physical characteristics, climatic factors and elements of water circulation, influences the rate, volume and spatial distribution of the infiltration recharge of shallow aquifers. The conceptual model of the groundwater recharge process accounts for identifying the scale of the hydrological process, the inlets and outlets of the water circulation system as well as its temporal and spatial limitations, such as an area’s infiltration predisposition and the type or character of a hydrologically active zone (Yair, Kossovsky 2002, Dripps, Bradbury 2007, Sorooshian, Hsu 2008, Teklebirhan et al. 2012). An extensive review of the methods and techniques for groundwater recharge estimation was presented by Pleczyński (1981), Jokiel (1994), Scanlon et al. (2002) and Batelaan (2006). Studies on groundwater recharge, conducted in areas with diverse climatic and environmental conditions, have contributed to the development of estimation methods based on statistical analyses and to classification using GIS techniques (Sophocleous 2005, Batelaan, De Smedt 2001, Batelaan 2006, Hart et al. 2012). In hydrological models that apply GIS techniques, the infiltration recharge volume of groundwater simulated in a single balance (raster) cell or in HRUs (Hydrologic Response Units) is the result of aggregating the impact of the elements of both climate and water circulation as well as geology-soil-topography association, vegetation type and land use. These concepts have been used, for instance, in such models as: HE MIKE, SWAP, SWAT, SWB, WetSpa or WetSpass, which estimate the spatial distribution of water balance components under different environmental conditions (Batelaan, De Smedt 2001, Kajewski 2004, Pokojska 2004, Cherkauer, Ansari 2005, Choromański, Michałowski 2011, Piniewski, Okruszko 2011, Graf 2012, Graf, Kajewski 2013).

Aim and study area

Assessment of the areal water balance structure of a catchment requires the identification of interrelated elements of the environment by simultaneously taking into account their spatial aspect. These criteria are fulfilled on a regional and local scale by the WetSpass model (Water an Energy Transfer between Soil, Plants and Atmosphere under quasi-Steady State; Batelaan, De Smedt 2001), which was used in the assessment of spatial conditions for the groundwater infiltration recharge process of shallow aquifers in the Poznan Plateau (the Great Poland Lowland in western Poland), classified as a region with observed water deficits (Fig. 1). The WetSpass model integrated with the GIS utilises a number of empirical relations occurring between the atmosphere, soil and plant media with respect to water and energy exchange, thus simulating the spatial distribution of effective infiltration of precipitation, surface runoff and actual evapotranspiration in relation to the average hydrometeorological conditions. The areal water balance for the region was simulated at the balance cell level ($xy=0.0625$ km$^2$) with a specified active surface type with respect to generating hydrological processes. The period 1961–2000 was covered in the model studies, and for annual and semi-annual projections input data were prepared in the form of raster maps of climatic elements, water circulation and selected physical characteristics of the catchment (Fig. 1). The analysis of the conditions and spatial distribution of the volume of effective infiltration of precipitation was conducted in stages by identifying the preferential zones in the recharge profile, analysing the relation between infiltration and climatic and environmental variables, and developing typical and dominant models of the infiltration recharge surface of shallow groundwater in the region. The purpose of applying raster analysis in the research on hydrological processes occurring in a lowland region was to indicate the reference area of shallow groundwater recharge by assessing the dominant and accessory characteristics.
The Poznan Plateau, selected as the test area (5,872 km²), is located in the central part of the Poznan Lowland (western Poland). In territorial structure it is delimited by the river valleys of the Warta in the north and east and by Warta’s tributary, the Obra, in the south and west. The study area, examined in detail with respect to its physicogeographical conditions by Graf (2012), is characterised by a considerable diversity of morphological forms (Fig. 1), whose origin is related primarily to the processes which shaped the lay of the land during the Frankfurt and Brandenburg stages of the last glacial period. Elevated units (62%) prevail in the region, and variation in the area’s altitudes is 24.1–145.6 m a.s.l., with an average of 74.9 m a.s.l. (σ=17.4). There is a slight share of zones with a slope above 2° (15%), which results from the area’s lowland character. The subsurface and surface zones of the Poznan Plateau are composed almost exclusively of Quaternary deposits, and deposits from earlier periods occur sparsely (the Warta valley). Low-permeability soils prevail in the central and eastern parts (loams), whereas medium permeability soils (fluvioglacial and river sands) prevail in the western and northern parts (Fig. 1). Anthropogenic soils characterised by varying permeability occur in developed and urbanised areas. The land use structure is dominated by arable land (54.8%), whereas forest and grassland take up 32.8% and 7%, respectively, which is characteristic of the Great Poland Lowland.

The Poznan Plateau is situated within the Warta basin (a tributary of the Oder).
ture of the river network and the direction of its drainage are connected with the run of the Urstromtal sections: the Torun-Eberswalde Urstromtal in the north and the Warta-Oder Urstromtal in the south of the region as well as the valley gorge sections of the Warta and Obra (Fig. 1). A distinct connection with the land form is shown by the first aquifer groundwater drained by the hydrographic network and recharged by precipitation infiltration, which forms the soil horizon of the valley (0–2 m), sandurs (2–5 m) and the upper horizon between loam strata in the plateau (above 5–10 m).

The regional uniformity of the Poznan Plateau results from its climatic and hydrological conditions as well as by its being situated in the centre of the Warta basin, which is characterised by the lowest precipitation in Poland (below 550 mm) and a low drainage ratio, on average within q=2.5–3.0 dm$^3$ s$^{-1}$ km$^{-2}$ (Dynowska, Poćisk-Karteczka 1999, Graf 2012). The precipitation deficiency and high evaporation losses in the western Great Poland region result partly from the transformation of the inflowing masses of air and from more frequent occurrence of unfavourable pressure systems (Farat 2004, Woś 2010).

Materials and methods

The WetSpass model of the years and winter and summer half-years (1961–2000) required the creation of spatial models (raster data structure) of: the area-averaged sum of precipitation, air temperature, wind speed and potential evapotranspiration (reference evaporation) as well as the types of land cover and use, soils, slope and groundwater table level of the first aquifer (Fig. 2). Models with raster cell dimensions of 0.25 × 0.25 km = 0.0625 km$^2$ were used in the estimation procedure.

The average precipitation in the catchment was selected as the precipitation recharge potential in the WetSpass model. A measurement data set collected from 18 IMGW-PIB (Institute of Meteorology and Water Management – National Research Institute) weather stations located within the Poznan Plateau region (1961–2000) was used to calculate it. A similar procedure was applied to estimate the spatial distribution of air temperature and wind speed. The potential evapotranspiration was determined using the Penman-Monteith method (FAO-PM 1998), and its calculations used meteorological data for the Poznan station (1961–2000). The remaining group of input elements for the WetSpass model was prepared on the basis of digital thematic GIS databases and the land form model. Information on land cover and use were obtained from the CORINE Land Cover database (CLC 2000), identifying 15 land cover forms in the study area. A schematisation of the soil and lithological conditions, aggregation of spatial data from the Detailed Geological Map of Poland (PIG-PIB – The Polish Geological Institute – National Research Institute) as well as the GIS database of the Hydrographic Map of Poland in a scale of 1:50,000 (GUGiK – Head Office of Geodesy and Cartography) provided an information database collated for five basic soil types with an assigned identification code as is obligatory in the WetSpass model. The slope was analysed as a derivative of the numerical land form DTED 2 in the PUWG 1992 system, in which the precision and accuracy of the data correspond to the contour interval on the maps in a scale of 1:50,000. For the analysis of spatial distribution of shallow groundwater table depths, hydroisobath maps of the GIS hydrographic database (source: Hydrographic Map 1:50,000) were used, processing the information by kriging (Surfer 9.0) into the form of regionalised data (grid). In order to
obtain comparable data and to determine boundary values of the groundwater circulation system for average and extreme conditions for the period 1961–2000, information was supplemented with a description of the characteristics of their regime obtained from the stationary groundwater monitoring network of the IMGW-PIB.

The raster models that were obtained are a result of modelling the spatial distribution of characteristics in a raster cell (xy = 0.0625 km²) with the dimensions matched to the effect of the process scale (Urbański 2008). The raster scale is characterised by a specific location in space with a quantitative or qualitative characteristic assigned to it, or a value specifying its class or intensification of occurrence. The quality of the model was verified by evaluating the modelling error (+/- mm) in annual and seasonal simulations. Information in the form of seasonal change sequences was encoded in the waterfall model of the propagation of processes of precipitation, surface runoff, evapotranspiration and infiltration groundwater recharge. Due to the model’s methodological premises regarding quantitative and descriptive information encoding, classification and reclassification procedures were used relating to the combination or separation of source data groups and to assigning them to the WetSpass database. Descriptions of source material selection and spatial data processing for the model have been presented by, e.g.: Batelaan, De Smedt (2001), Kajewski (2004), Pokojska (2004), Abu-Sal-eem et al. (2010), Aish et al. (2010) and Graf (2012).

Results

Simulation studies in the WetSpass model confirmed the low precipitation recharge potential in the Poznan Plateau region. The mean annual precipitation (1961–2000) assigned to a balance cell (xy=0.0625 km²) reached values within 17–579 mm, with an average of 541 mm (σ=9.9) – Table 1. Zones with a precipitation sum that is approximating and below the annual mean occupy 26% and 42% of the area, respectively.

A predominance of actual evapotranspiration (79%), also characterised by the highest areal variation range (350 mm), is recorded in the annual water balance structure. Effective infiltration amounts to 15% and surface runoff to 6% of the precipitation (Table 1).

The mean annual effective infiltration of precipitation (IE) estimated for the Poznan Plateau region is 84 mm, and in the spatial distribution the uniform area is represented by a range of variation of 50–100 mm (Fig. 3). An upward tendency of the variable value above 100 mm is visible in the north-western and north-eastern parts of the studied region. The lowest effective infiltration values of precipitation were recorded in the Poznan city area and its peripheral zone (the eastern part of the area) and in the border areas of the Lwówecko-Rakoniewicki Levee (the western part). Balance cells with a “negative IE value” which overlap with the locations of valley depressions were found in the model. These were selected as local evapotranspiration increase zones in which effective groundwater infiltration recharge is partially or completely reduced.

Effective infiltration for the winter half-year is 127 mm, which is 59% of the precipitation sum for the half-year studied (1961–2000) – Table 1. In the studied period the areal model of effective infiltration of precipitation constitutes a strong representation of relations between its volume and the type of soils and their permeability. The uniform area is represented by a zone of infiltration

<table>
<thead>
<tr>
<th>Water balance components</th>
<th>Year</th>
<th>Winter half-year</th>
<th>Sommer half-year</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Range*</td>
<td>Χ [σ]</td>
<td>Range*</td>
</tr>
<tr>
<td>Precipitation (P)</td>
<td>517–579</td>
<td>541  9.9</td>
<td>190–237</td>
</tr>
<tr>
<td>Effective infiltration (IE)</td>
<td>(-251)–207</td>
<td>84  35</td>
<td>-251–207</td>
</tr>
<tr>
<td>Surface runoff (Hp)</td>
<td>0.93–271</td>
<td>31  39</td>
<td>0.14–179</td>
</tr>
<tr>
<td>Actual evapotranspiration (ET=E+T+Ic)</td>
<td>274–625</td>
<td>429  42</td>
<td>60–95</td>
</tr>
<tr>
<td>Soil evaporation (E)</td>
<td>0–379</td>
<td>77  58</td>
<td>0–75</td>
</tr>
<tr>
<td>Transpiration (T)</td>
<td>0–482</td>
<td>247  49</td>
<td>0–54</td>
</tr>
<tr>
<td>Interception (Ic)</td>
<td>0–224</td>
<td>89  75</td>
<td>0–67</td>
</tr>
</tbody>
</table>

* Data refer to single raster cells xy=0.0625 km². Water balance downward bias: ±1–5 mm (δ= 0.24–2%). Χ – mean value, σ – standard deviation
recharge in excess of 100 mm whose area overlaps with the coverage of formations with good and medium permeability (sands and gravels), whereas values below this boundary show high spatial distribution. The spatial model prepared for the summer half-year showed a uniform zone of negative effective infiltration values in the Poznan Plateau (84% of the surface), which indicates an absence of this process being manifested and activation of evapotranspiration (EP=353 mm), which in the season in question exceeds the amount of precipitation (P=326 mm).

An analysis of the raster cell frequency conducted in the WetSpass model showed that 67% of their numbers (62,707 rasters = 3919 km²) are characterised by a mean annual effective infiltration value in the range of 50–100 mm (Fig. 3), whereas in the winter half-year balance cells become dominant (86%), where effective infiltration varies in the range of 100–150 mm.

**Discussion of the results**

The areal water balance of the Poznan Plateau, as calculated in the WetSpass model, is typical of the central part of the Great Poland Lowland, which is characterised by high water deficits. Under average annual conditions (1961–2000) the balance structure becomes dominated by evapotranspiration (80%), and the relation between effective infiltration and surface runoff is approximately 3:1. (Table 1). Intensifying the process of infiltration recharge of groundwater in the winter season (a 50–60% share), mostly at the expense of reduced evapotranspiration, results in an increase in its levels and soil retention, which is characteristic of an oceanic groundwater regime (Chełmicki 1991). This is confirmed by the results of analyses of fluctuations and the rise of the groundwater table in the Poznan Plateau area, based on the measurement series (1961–2000) of groundwater levels by IMGW-PIB (Graf 2012; Fig. 4).

Effective infiltration in the summer season is either reduced or the process ceases altogether (negative values in the model), with an estimated evapotranspiration volume of $\text{ET} = 350$ mm, i.e. one which exceeds the half-year precipitation sum by about 10% (Table 1). Such a situation occurs chiefly in the valley portions of groundwater drainage zones, which is connected with high plant transpiration in areas with low groundwater levels (Batelaan 2006, Dripps, Bradbury 2007). In the Great Poland Lowland, in the summer half-year and under normal conditions, about
75% of the energy available in the environment is used up for evaporation (Jankowiak, Kędzierzawa 2007). The WetSpass model results confirmed the seasonality of the recharge regime of shallow groundwater, whose level exhibited a downward tendency until the end of the year (Fig. 4).

Many years of observations by the IMGW-PIB (Farat 2004) showed that in the Great Poland area, non-precipitation periods can persist for over a month, and drought occurrences are characterised by the highest incidence levels in Poland. This classifies the region among areas with the highest categories in terms of low retention (Kowalczak et al. 2007). In the summer-autumn low-flow period the influence of precipitation on water table variations is insignificant, and underground recharge of watercourses originates mainly from water reserves retained during the winter period (Chelmicki 1991, Przybyłek, Nowak 2011). This assumption was verified by comparing the WetSpass model results, hydrological methods of underground runoff evaluation and the Processing MODFLOW for Windows PMWIN groundwater filtration model in which water circulation conditions are determined by the hydrodynamic state of the system as well as their zones of recharge and drainage (Graf 2012).

An interesting result was obtained by comparing annual infiltration in each of the land use classes (Table 2). The highest mean annual effective infiltration values were identified in the arable land and grassland classes, which are at the same time characterised by a high range of variation of the values obtained. Forest complexes are characterised by a precipitation infiltration index within 5–18%, whereas for land used for agriculture it is 17–22%. An element which modifies the infiltration capacity of soils in a significant manner is vegetation, which reduces it to about 14–15%, whereas a factor markedly reducing infiltration is land development, which is noticeable in the Poznan city area and its peripheries. These assumptions are confirmed by selected descriptive statistics of effective infiltration, obtained by means of estimation (the WetSpass model) for the applied boundary conditions and hydrologically active surface patterns characterised by, among others, soil type and land use type (Table 3).

The simulated effective infiltration values for arable land, grassland and deciduous, coniferous and mixed forests are: 91, 78 and 81, 68, 80 mm, respectively. It was found that forest complexes, due to their high potential of transpiration and interception processes, which are activated particularly in the summer season, lower the re-
The shallow groundwater infiltration recharge volumes obtained in the WesSpass model were estimated in relation to the geological and lithological conditions of the Poznań Plateau.

Table 3. Selected statistics of effective infiltration values [mm] for selected reference surface patterns in the Poznań Plateau region – WesSpass model results for the year and the winter half-year (1961–2000)

<table>
<thead>
<tr>
<th>Reference surface</th>
<th>A [km²] [%]</th>
<th>Year</th>
<th>Winter half-year</th>
</tr>
</thead>
<tbody>
<tr>
<td>(I) Forests on sandy soils</td>
<td>1582 [27]</td>
<td>46.4 136.1</td>
<td>81.1 15.3 102.4 168.1 134.8 11.7</td>
</tr>
<tr>
<td>(II) Grassland on organic soils</td>
<td>246 [4]</td>
<td>-187.1 88.7</td>
<td>65.3 16.7 -12.1 146.7 125.6 6.69</td>
</tr>
<tr>
<td>(III) Arable land on loamy soils</td>
<td>1938 [33]</td>
<td>-8.75 106.4</td>
<td>81.8 8.56 -33.5 159.5 134.9 8.59</td>
</tr>
<tr>
<td>(IV) Developed land *</td>
<td>236 [4]</td>
<td>-26.0 158.9</td>
<td>50.5 23.1 30.2 132.9 91.0 13.7</td>
</tr>
</tbody>
</table>

* Developed land (the anthropogenic zone) covers the following land types: built-up and open developed land, industrial, post-exploitation, technical infrastructure; $\bar{x}$ – mean effective infiltration value, $\sigma$ – standard deviation
A comparison of effective infiltration volumes obtained using the bifactor estimation method (precipitation-subsurface formation lithology) and the multifactor method (the WetSpass model) revealed significant differences. The effective infiltration index, calculated in the WetSpass model as the mean value for the groundwater circulation system, is verified through interrelations occurring between a group of climatic variables, water circulation and physical characteristics of the catchment in relation to the surface runoff and evapotranspiration, being reduced in relation to potential possibilities determined using the geological-infiltration method by about 5–10% on average.

Conclusions

An analysis of spatial conditions for infiltration recharge of shallow groundwater in the central part of the Great Poland Lowland (the Poznan Plateau region) was conducted on the basis of simulation studies using a hydrological WetSpass model which included energy and water transfer between the atmosphere, the soil medium and vegetation in relation to shallow groundwater. The application of a water balance model with spatially distributed parameters (a raster model) provided the basis for spatial estimation of precipitation infiltration under average conditions for the year and winter and summer half-years (1961–2000) by taking into account the intensity of effects of continuous and discontinuous hydrological processes.

The results of the WetSpass model confirmed an unfavourable water balance structure in the studied region, with a dominance of evapotranspiration which in many instances exceeded the sums of precipitation in the summer season. Effective recharge of groundwater in the first aquifer, due to precipitation infiltration, takes place in the winter season. This is also proved by the results of analysing the groundwater table. The share of effective infiltration in the water balance structure in the winter season is over 50–60%, whereas in the summer season it is registered as reduced or non-occurring, which is related to high plant transpiration in areas where shallow groundwater is present. An element differentiating the areal distribution of water balance components in an area with a prevalence of flat land (with a slope up to 2°) is land use type and soil medium characteristics.

Assuming that the recharge system of the catchment is a complex aggregate characterised by a definite number of diagnostic variables, spatial attributes were selected and assigned to zones representing the state of the active (reference) surface which can potentially generate the appropriate hydrological process. The results and information obtained are stored in the respective model layers and attribute tables, which makes it possible to conduct simulations with varying initial conditions (e.g., changing the land use type) and by applying the GIS as well as by compiling the numerical groundwater model (Graf 2012). The developed system of spatial information about water circulation conditions within the Poznan Plateau, supported by thematic databases on the natural environment with properly encoded information input, can be useful in water resource management, particularly in areas with observed water deficits.

References

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